

Form 603

Corporations Act 2001

Section 671B

Notice of initial substantial holder

To Company Name/Scheme ANAX METALS LIMITED

ACN/ARSN ACN 106 304 787

1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 08-Feb-2021

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	14,243,587	14,243,587	5.08%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Voting rights and right to dispose	14,243,587 Common Stock

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	14,243,587 Common Stock

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	21-Oct-20	Placement shares		1,445,906 Common Stock
Credit Suisse Equities (Australia) Limited	6-Nov-20	13,139.0 AUD		357,000 Common Stock
Credit Suisse Equities (Australia) Limited	6-Nov-20	15,049.0 AUD		355,000 Common Stock

Credit Suisse Equities (Australia) Limited	9-Nov-20	6,240.0 AUD		160,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	1,140.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	43,129.37 AUD		-1,217,182 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	4,940.0 AUD		130,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Nov-20	28,616.79 AUD		-765,724 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	13,525.0 AUD		-335,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Nov-20	1,250.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	12-Nov-20	615.0 AUD		15,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Nov-20	3,900.0 AUD		-100,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Nov-20	1,170.0 AUD		-30,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Nov-20	17,548.0 AUD		351,000 Common Stock
Credit Suisse Equities (Australia) Limited	13-Nov-20	4,680.0 AUD		90,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	1,390.0 AUD		-30,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	4,115.37 AUD		79,321 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	4,655.61 AUD		89,531 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	19,141.0 AUD		-396,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Nov-20	1,010.0 AUD		20,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Nov-20	3,290.0 AUD		-65,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Nov-20	500.0 AUD		10,000 Common Stock
Credit Suisse Equities (Australia) Limited	19-Nov-20	5,335.37 AUD		-104,321 Common Stock
Credit Suisse Equities (Australia) Limited	19-Nov-20	1,215.0 AUD		27,000 Common Stock
Credit Suisse Equities (Australia) Limited	19-Nov-20	2,340.95 AUD		-45,301 Common Stock
Credit Suisse Equities (Australia) Limited	20-Nov-20	2,505.73 AUD		-49,230 Common Stock
Credit Suisse Equities (Australia) Limited	23-Nov-20	450.0 AUD		-10,000 Common Stock

Credit Suisse Equities (Australia) Limited	23-Nov-20	562.0 AUD		-12,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Nov-20	230.0 AUD		-5,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Nov-20	1,150.0 AUD		-25,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Nov-20	496,533.76 AUD		6,824,564 Common Stock
Credit Suisse Equities (Australia) Limited	25-Nov-20	258,181.06 AUD		3,578,429 Common Stock
Credit Suisse Equities (Australia) Limited	26-Nov-20	15,655.0 AUD		243,000 Common Stock
Credit Suisse Equities (Australia) Limited	26-Nov-20	8,530.0 AUD		130,000 Common Stock
Credit Suisse Equities (Australia) Limited	27-Nov-20	23,376.47 AUD		339,850 Common Stock
Credit Suisse Equities (Australia) Limited	27-Nov-20	256,526.13 AUD		-3,545,210 Common Stock
Credit Suisse Equities (Australia) Limited	27-Nov-20	480,412.44 AUD		-6,684,564 Common Stock
Credit Suisse Equities (Australia) Limited	30-Nov-20	16,314.0 AUD		-242,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Nov-20	17,000.0 AUD		250,000 Common Stock
Credit Suisse Equities (Australia) Limited	30-Nov-20	8,719.80 AUD		129,400 Common Stock
Credit Suisse Equities (Australia) Limited	30-Nov-20	10,622.45 AUD		-163,219 Common Stock
Credit Suisse Equities (Australia) Limited	1-Dec-20	2,760.0 AUD		40,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Dec-20	2,100.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Dec-20	23,641.31 AUD		-349,333 Common Stock
Credit Suisse Equities (Australia) Limited	2-Dec-20	9,097.19 AUD		-131,517 Common Stock
Credit Suisse Equities (Australia) Limited	2-Dec-20	4,940.0 AUD		70,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Dec-20	8,799.20 AUD		-129,400 Common Stock
Credit Suisse Equities (Australia) Limited	3-Dec-20	3,960.0 AUD		60,000 Common Stock
Credit Suisse Equities (Australia) Limited	4-Dec-20	5,760.0 AUD		-80,000 Common Stock
Credit Suisse Equities (Australia) Limited	7-Dec-20	12,540.0 AUD		190,000 Common Stock
Credit Suisse Equities (Australia) Limited	7-Dec-20	5,525.0 AUD		85,000 Common Stock

Credit Suisse Equities (Australia) Limited	7-Dec-20	1,400.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Dec-20	585.0 AUD		9,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Dec-20	4,080.0 AUD		-60,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Dec-20	17,780.0 AUD		-270,000 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	1,320.0 AUD		-20,000 Common Stock
Credit Suisse Equities (Australia) Limited	10-Dec-20	5,525.0 AUD		-85,000 Common Stock
Credit Suisse Equities (Australia) Limited	11-Dec-20	10,240.0 AUD		-160,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	558.0 AUD		-9,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	2,728.65 AUD		52,474 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	780.0 AUD		15,000 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	5,827.74 AUD		105,188 Common Stock
Credit Suisse Equities (Australia) Limited	16-Dec-20	9,981.11 AUD		208,948 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	5,641.64 AUD		-105,188 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	2,833.60 AUD		-52,474 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	814.0 AUD		-15,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	1,096.70 AUD		23,334 Common Stock
Credit Suisse Equities (Australia) Limited	18-Dec-20	1,470.0 AUD		-30,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Dec-20	1,935.82 AUD		42,083 Common Stock
Credit Suisse Equities (Australia) Limited	22-Dec-20	2,937.70 AUD		66,016 Common Stock
Credit Suisse Equities (Australia) Limited	22-Dec-20	935.09 AUD		21,252 Common Stock
Credit Suisse Equities (Australia) Limited	22-Dec-20	1,584.0 AUD		36,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Dec-20	1,430.42 AUD		31,096 Common Stock
Credit Suisse Equities (Australia) Limited	24-Dec-20	1,656.0 AUD		-36,000 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	2,863.18 AUD		62,330 Common Stock

Credit Suisse Equities (Australia) Limited	30-Dec-20	1,056.44 AUD		24,010 Common Stock
Credit Suisse Equities (Australia) Limited	31-Dec-20	1,677.11 AUD		-36,459 Common Stock
Credit Suisse Equities (Australia) Limited	4-Jan-21	740.69 AUD		16,102 Common Stock
Credit Suisse Equities (Australia) Limited	5-Jan-21	1,051.38 AUD		22,856 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	2,600.01 AUD		-53,567 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	2,111.24 AUD		44,920 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	1,410.0 AUD		30,000 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jan-21	1,350.0 AUD		-30,000 Common Stock
Credit Suisse Equities (Australia) Limited	18-Jan-21	106.95 AUD		2,139 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	839.19 AUD		17,855 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	1,175.0 AUD		25,000 Common Stock
Credit Suisse Equities (Australia) Limited	21-Jan-21	1,160.70 AUD		23,214 Common Stock
Credit Suisse Equities (Australia) Limited	22-Jan-21	1,128.61 AUD		24,013 Common Stock
Credit Suisse Equities (Australia) Limited	25-Jan-21	1,225.0 AUD		-25,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Jan-21	1,351.60 AUD		27,032 Common Stock
Credit Suisse Equities (Australia) Limited	27-Jan-21	11,200.0 AUD		200,000 Common Stock
Credit Suisse Equities (Australia) Limited	5-Feb-21	11,202.86 AUD		133,331 Common Stock
Credit Suisse Equities (Australia) Limited	5-Feb-21	290,403.20 AUD		3,121,915 Common Stock
Credit Suisse Equities (Australia) Limited	5-Feb-21	948,261.05 AUD		9,821,662 Common Stock
Credit Suisse Equities (Australia) Limited	8-Feb-21	1,329.90 AUD		18,947 Common Stock
Credit Suisse Equities (Australia) Limited	8-Feb-21	525.0 AUD		7,000 Common Stock
Credit Suisse Equities (Australia) Limited	8-Feb-21	27,032.76 AUD		373,558 Common Stock

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

